



NUIX ACCESSIBILITY CONFORMANCE REPORT

WCAG EDITION

(Based on VPAT® Version 2.4Rev)

Name of Product/Version: Nuix Investigate®

Report Date: February 2024

Product Description: Nuix Investigate® is an application that allows web-based access to Nuix cases. Designed specifically for forensic investigators and subject matter experts, it provides the tools to help search, analyze, and tag case data, all from the web.

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Evaluation Methods Used: Evaluation of the Nuix Investigate® product (“Product” in the following documentation) involved a combination of automated, manual, and assistive technology testing. Level Access (“Level”) comprehensively tested a selection of pages representative of the Nuix Investigate® web application (referred to as “in- scope features and flows” where applicable in this documentation), using a testing methodology that is aligned with the Web Accessibility Conformance Evaluation Methodology 1.0. Assistive technologies, including a screen reader (JAWS 2023), were used along with exclusive use of keyboard input, browser zoom, manual inspection of page code, and review of the Accessibility API output to determine the level of support for each WCAG 2.1 criterion. Level also performed functional testing of key user flows within the application using JAWS 2023 to determine the effective usability of the product for persons with disabilities.

Applicable Standards/Guidelines: This report covers the degree of conformance for the following accessibility standard/guidelines

Standard/Guideline	Included In Report
Web Content Accessibility Guidelines 2.0	Level A (Yes) Level AA (Yes)

Standard/Guideline	Included In Report
	Level AAA (No)
Web Content Accessibility Guidelines 2.1	Level A (Yes) Level AA (Yes) Level AAA (No)

Terms

The terms used in the Conformance Level information are defined as follows:

- **Supports:** The functionality of the product has at least one method that meets the criterion without known defects or meets with equivalent facilitation.
- **Partially Supports:** Some functionality of the product does not meet the criterion.
- **Does Not Support:** The majority of product functionality does not meet the criterion.
- **Not Applicable:** The criterion is not relevant to the product.
- **Not Evaluated:** The product has not been evaluated against the criterion. This can be used only in WCAG 2.0 Level AAA.

WCAG 2.x Report

Note: When reporting on conformance with the WCAG 2.x Success Criteria, they are scoped for full pages, complete processes, and accessibility-supported ways of using technology as documented in the [WCAG 2.x Conformance Requirements](#).

Table 1: Success Criteria, Level A

Notes:

Criteria	Conformance Level	Remarks and Explanations
1.1.1 Non-text Content (Level A)	Does Not Support	<p>A rating of Does Not Support has been given for the following reasons:</p> <ul style="list-style-type: none">• Visually hidden and intentionally inactive content is, on some pages, improperly exposed to assistive technologies (e.g., screen readers).• Modal dialogs do not fully restrict keyboard interaction to remain within the dialog area.• Interactive radio button controls in several key user flows are not programmatically exposed to assistive technologies.
1.2.1 Audio-only and Video-only (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded video or audio- only content. Product supports uploading of User Generated files that may include audio-only or video- only media content, which may support accessibility features at the discretion of the file creator(s) and are not in-scope for this ACR.
1.2.2 Captions (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded multi-media content. Product supports uploading of User Generated files that may include multi-media content, which may include captioning at the discretion of the file creator(s) and are not in-scope for this ACR.
1.2.3 Audio Description or Media Alternative (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded multi-media content. Product supports uploading of User Generated files that may include multi-media content, which may include audio description or media alternative at the discretion of the file creator(s) and are not in-scope for this ACR.

Criteria	Conformance Level	Remarks and Explanations
1.3.1 Info and Relationships (Level A)	Partially Supports	<p>A rating of Partially Supports has been given for the following reasons:</p> <ul style="list-style-type: none"> • Visual heading text blocks are, in several cases, not programmatically identified as meaningful headings. • Visual textual field labels, when present, are not always explicitly associated with their relevant fields at the code level. • Semantically meaningful structures, such as lists, trees, tab widgets, and dialogs, are, in some cases, implicit in nature, implemented purely using CSS styling.
1.3.2 Meaningful Sequence (Level A)	Supports	Product's content and pages properly linearize for sequential navigation by keyboard input and assistive technologies without loss of meaning or inhibition of functionality.
1.3.3 Sensory Characteristics (Level A)	Supports	Product does not contain instructions or content that relies solely on sensory characteristics such as shape, size, visual location, orientation, or sound.
1.4.1 Use of Color (Level A)	Partially Supports	<p>Most of the product meets the criterion, either using non-color cues to express information or pairing a non- color-dependent cue with incidental color effects. A rating of Partially Supports has been given for the following reasons:</p> <ul style="list-style-type: none"> • "Switch" role controls present on some pages visually present their active/inactive state through a low contrast color shift. • Custom tab-widget controls present on multiple pages visually present their selected state using a low-contrast background color shift.

Criteria	Conformance Level	Remarks and Explanations
		<ul style="list-style-type: none"> In a small number of cases, red coloration is used as the sole visual indicator of form validation errors.
1.4.2 Audio Control (Level A)	Supports	Product does not include application controlled or embedded audio content.
2.1.1 Keyboard (Level A)	Does Not Support	Much of the product supports keyboard interaction to at least a reasonably functional degree. A rating of Does Not Support has been given, however, due to a number of custom controls critical to key user-flows which do not support keyboard interaction and provide no keyboard operable alternative to control their related functionality.
2.1.2 No Keyboard Trap (Level A)	Does Not Support	Product contains keyboard traps, related to custom multi-select drop-down widgets present on two key pages which cannot be closed or dismissed with pure keyboard input. Instances specifically occur in the Reports view and Query Builder tools.
2.1.4 Character Key Shortcuts (Level A 2.1 only)	Supports	Product uses character key shortcuts that can be turned off, remapped to non- printable keyboard characters (such as Ctrl, Alt, etc.), or that are active only when the intended component has focus.
2.2.1 Timing Adjustable (Level A)	Supports	Product provides methods for the User to turn off, adjust, or extend time limits imposed on content before expiration.
2.2.2 Pause, Stop, Hide (Level A)	Supports	Product allows users to pause, stop, or hide moving, blinking, scrolling or auto- updating content.
2.3.1 Three Flashes or Below Threshold (Level A)	Supports	Product does not contain any content which flashes or blinks above the 3.0 Hz threshold.
2.4.1 Bypass Blocks (Level A)	Does Not Support	Uniformly on all pages, product does not include quick navigation mechanisms to bypass the shared site header section.
2.4.2 Page Titled (Level A)	Partially Supports	Product features a valid title tag on all pages. A rating of Partially Supports has been given, however, because titles assigned are not distinctly descriptive of each individual page's purpose.

Criteria	Conformance Level	Remarks and Explanations
		Typically, pages on the site are titled just as the Product's name ("Nuix Investigate").
2.4.3 Focus Order (Level A)	Partially Supports	<p>Much of the product content presents interactive controls in a focus order which mirrors the natural reading order of the page. A rating of Partially Supports has been given for the following reasons:</p> <ul style="list-style-type: none"> • Explicit focus management is not always present on activation of modal dialogs, failed form submission, and completion of search form actions which update the content of the page.
2.4.4 Link Purpose (In Context) (Level A)	Supports	Purpose of all links present within the product can be programmatically determined from the link text, and/or from the link text in conjunction with the surrounding, programmatically determinable context.
2.5.1 Pointer Gestures (Level A 2.1 only)	Partially Supports	Product ensures that functionality that uses multi-point or path-based gestures can be operated with a single pointer device without requiring path-based gestures.
2.5.2 Pointer Cancellation (Level A 2.1 only)	Supports	Product ensures that functionality triggered using a single pointer event does not complete on the down-press and allows the User to abort and/or reverse the function when desired.
2.5.3 Label in Name (Level A 2.1 only)	Partially Supports	A rating of Partially Supports has been given due to instances of controls and fields within the product which provide visual textual labels but do not associate those labels with the field programmatically. In the majority of affected cases, fields derive their programmatic name from placeholder content, a title attribute, or ARIA markup, though a handful of instances lack textual names entirely.
2.5.4 Motion Actuation (Level A 2.1 only)	Supports	Product does not include any functionality that is operated using orientation or physical motion of the device.

Criteria	Conformance Level	Remarks and Explanations
3.1.1 Language of Page (Level A)	Partially Supports	With the singular exception of the Login form page, site uniformly assigns a programmatic natural language for each page document. Login form lacks a root document language definition entirely.
3.2.1 On Focus (Level A)	Partially Supports	Simple controls across the product conform to the criterion individually. However, a rating of Partially Supports has been given due to behavior of custom combo box style controls used throughout the pages, which automatically expand and perform focus movement into the drop-down when initially focused with keyboard input.
3.2.2 On Input (Level A)	Supports	Product does not contain components or user controls that initiate an inappropriate change of context immediately upon change of value or state.
3.3.1 Error Identification (Level A)	Supports	Product supports the criterion and provides clear identification of fields in error where applicable. Error messages on the Login form are present at the form level but not granularly descriptive of specific field(s) due to site security considerations.
3.3.2 Labels or Instructions (Level A)	Partially Supports	Majority of the product meets this criterion, however a rating of Partially Supports has been given due to a handful of instances in the Search and Reports section of the site that have visual textual labels which are not programmatically associated to the relevant fields.
4.1.1 Parsing (Level A)	Partially Supports	<p>Product passes parsing evaluation and checks for the majority of content. A rating of Partially Supports has been given for the following reasons:</p> <ul style="list-style-type: none"> • Duplicated fields and controls for some common features (e.g., Search fields) feature non-unique ID values due to the shared widget. • Native list structures and interactive buttons, in a small number of cases, contain invalidly nested child elements.

Criteria	Conformance Level	Remarks and Explanations
4.1.2 Name, Role, Value (Level A)	Does Not Support	Majority of native controls and elements across the site provide appropriate name/role/state information for assistive technologies. However, a rating of Does Not Support has been given due to implicit tab-view widgets and hidden radio button controls present within several key User- flows across the product (including, but not limited to, Query Builder, Search functionality, and Management pages).

Table 2: Success Criteria, Level AA

Notes:

Criteria	Conformance Level	Remarks and Explanations
1.2.4 Captions (Live) (Level AA)	Supports	Product does not include live audio/video content.
1.2.5 Audio Description (Prerecorded) (Level AA)	Supports	Product does not include application controlled or embedded synchronized media. Product supports uploading of User Generated files that may include synchronized media content, which may provide audio description at the discretion of the file creator(s) and are not in- scope for this ACR.
1.3.4 Orientation (Level AA 2.1 only)	Supports	Product does not restrict its view and operation to a single orientation, e.g., portrait or landscape.
1.3.5 Identify Input Purpose (Level AA 2.1 only)	Supports	Where applicable, Product identifies common field types programmatically as defined in the HTML document specifications.
1.4.3 Contrast (Minimum) (Level AA)	Partially Supports	<p>In most cases, Product ensures that textual content provides sufficient visual contrast against the background. A rating of Partially Supports has been given due to the following cases of insufficient color contrast:</p> <ul style="list-style-type: none"> Meaningful text provided using field placeholder values.

Criteria	Conformance Level	Remarks and Explanations
		<ul style="list-style-type: none"> • Inline field hint information, primarily remaining character counts for some input fields. • Theme blue shade used for background of some CTA controls and selection state of some custom drop- down fields.
1.4.4 Resize text (Level AA)	Partially Supports	<p>In most cases, Product ensures textual content can be cleanly resized without loss of content or meaning using browser zoom functionality. A rating of Partially Supports has been given due to the following cases of browser zoom causing some loss of content:</p> <ul style="list-style-type: none"> • Sections of the Query Builder tool become hard clipped by the viewport at 200% browser zoom ratio. • Some modal dialogs become hard clipped along the top edge of the dialog at 200% browser zoom ratio.
1.4.5 Images of Text (Level AA)	Supports	Product uses true text content instead of images of text, except in cases exempt from this criterion (e.g., Logotypes). Product supports uploading of User Generated files, which may include images of text at the discretion of the file creator(s) and are not in- scope for this ACR.
1.4.10 Reflow (Level AA 2.1 only)	Does Not Support	Content of the site does not support the criterion, owing primarily to controls within interactive toolbars and panels (e.g., Search and filtering functionality) not dynamically adapting to smaller viewport widths, resulting in loss of content.
1.4.11 Non-text Contrast (Level AA 2.1 only)	Partially Supports	Much of the site content meets the minimum contrast proscribed by the criterion. A rating of Partially Supports, however, has been given due to the following elements and functionality:

Criteria	Conformance Level	Remarks and Explanations
		<ul style="list-style-type: none"> • Borders of checkbox style controls, including within tree view and custom combo box structures. • Visual styling of some button role elements, including both the control border and visually identifying iconography within the control. • Visual color shift used as a focus style for a number of interactive controls.
1.4.12 Text Spacing (Level AA 2.1 only)	Partially Supports	In most cases, Product supports increase of text spacing without loss of content or meaning. A rating of Partially Supports has been given due to text spacing changes not being honored/supported by on- hover custom tooltips present on several pages of the web app.
1.4.13 Content on Hover or Focus (Level AA 2.1 only)	Partially Supports	In most cases, Product properly supports hover and focus behaviour conformant to the criterion. A rating of Partially Supports has been given due to a single instance of a custom tooltip related to the “Filter By” field in the Search results Gallery view.
2.4.5 Multiple Ways (Level AA)	Supports	Where applicable, Product supports the user’s ability to navigate through pages in multiple ways/paths.
2.4.6 Headings and Labels (Level AA)	Partially Supports	<p>In most cases, Product utilizes headings and labels which are descriptive and unique. A rating of Partially Supports has been given for the following reasons:</p> <ul style="list-style-type: none"> • Visual headings and textual labels for repeated controls on some pages are not uniquely identified when taken in their programmatically determinable context.
2.4.7 Focus Visible (Level AA)	Partially Supports	Content of the site provides visual indication of currently focused elements. A rating of Partially Supports has been given, however, due to numerous cases of common components and

Criteria	Conformance Level	Remarks and Explanations
		shared content (e.g., within the Search tools sidebar) that visually indicate focus state using low contrast shifts of background color.
3.1.2 Language of Parts (Level AA)	Supports	Product indicates the human language of content, and changes of language within the product content where applicable. Product supports uploading of user generated files that may diverge from the native language of the product itself, at the discretion of the file creator(s), that are not in-scope for this ACR.
3.2.3 Consistent Navigation (Level AA)	Supports	Product navigation mechanisms which are repeated on multiple pages are uniformly presented in a consistent relative order on all pages which contain them.
3.2.4 Consistent Identification (Level AA)	Supports	Components with the same functionality are consistently presented and identified across all pages of the product.
3.3.3 Error Suggestion (Level AA)	Supports	Where applicable, Product provides suggestions when user input does not pass form validation checks.
3.3.4 Error Prevention (Legal, Financial, Data) (Level AA)	Supports	Where applicable under this criterion, Product provides mechanisms to reverse changes to user generated data and/or confirm information before finalizing an update.
4.1.3 Status Messages (Level AA 2.1 only)	Partially Supports	<p>Much of the content of the product does not require real-time live status updates to be defined. However, a rating of Partially Supports has been given due to active status messages being absent for the following dynamic functionality:</p> <ul style="list-style-type: none"> • Visual loading state indicators presented for asynchronously updated content sections. • Search feature results following initial search and subsequent filtering of results. • Live updated character count limits associated with some text input fields.

Table 3: Success Criteria, Level AAA

Notes: Notes: Notes: Applicable – Not Evaluated.

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