

## A FORCE FOR GOOD



# Neo Data Privacy Solutions

**VPAT** 

(Based on VPAT® Version 2.4)

### Nuix Workstation

Report Date: February 2024

**Product Description:** Nuix Workstation is the world's leading technology for extracting intelligence from high-volume unstructured, semi-structured and structured data. It saves you time, increases productivity, and streamlines workflows. Nuix Workstation's efficient and scalable processing turns more than 1,000 file formats and source types into meaningful information, capturing the content, metadata, and context of each item. Our customers use Nuix Workstation for litigation, forensic investigations, information governance, government oversight, mergers and divestitures, compliance, data and email migrations, and regulatory enforcement and response.

Contact Information: Support@nuix.com

**Evaluation Methods Used:** Testing based on general knowledge with available accessibility tools in Windows and Apple operating system.

Applicable Standards/Guidelines: This report covers the degree of conformance for the following accessibility standard/guidelines

Standard/Guideline	Included In Report
Web Content Accessibility Guidelines 2.0	Level A (Yes)
	Level AA (Yes)
	Level AAA (Yes)
Web Content Accessibility Guidelines 2.1	Level A (Yes)
·	Level AA (Yes)
	Level AAA (Yes)

#### **Terms**

The terms used in the Conformance Level information are defined as follows:

- **Supports**: The functionality of the product has at least one method that meets the criterion without known defects or meets with equivalent facilitation.
- Partially Supports: Some functionality of the product does not meet the criterion.
- **Does Not Support**: The majority of product functionality does not meet the criterion.
- Not Applicable: The criterion is not relevant to the product.
- Not Evaluated: The product has not been evaluated against the criterion. This can be used only in WCAG 2.0 Level AAA.

#### WCAG 2.x Report

Note: When reporting on conformance with the WCAG 2.x Success Criteria, they are scoped for full pages, complete processes, and accessibility-supported ways of using technology as documented in the <u>WCAG 2.0 Conformance Requirements</u>.

Table 1: Success Criteria, Level A

Criteria	Conformance Level	Remarks and Explanations
1.1.1 Non-text Content (Level A)	Supports	Text equivalents are provided for non-text elements within Nuix Workstation.
1.2.1 Audio-only and Video-only (Prerecorded) (Level A)	Supports	Software: Nuix Workstation provides text transcripts or Audio tracks.
1.2.2 Captions (Prerecorded) (Level A)	Supports	Nuix Workstation does include captions for "synchronized multimedia".
1.2.3 Audio Description or Media Alternative (Prerecorded) (Level A)	Supports	No content requiring audio description, or a media alternative is present on Nuix Workstation.
1.3.1 Info and Relationships (Level A)	Partially Supports	Relationships among content in Nuix Workstation are represented semantically in most cases. However, a rating of Partially Supports has been given for the following reasons:  • On the "04 New Case: Processing" module, the progress bar cannot be identified by its accessible name.  • On the "10 Workbench: Review and Tag" module, the labels for the left and right arrow buttons are not meaningful.
1.3.2 Meaningful Sequence (Level A)	Supports	Nuix Workstation provides content in a meaningful sequence to support navigation and correct reading order.
1.3.3 Sensory Characteristics (Level A)	Supports	Instructions that rely solely on sensory characteristics are not present on Nuix Workstation.
1.4.1 Use of Color (Level A)	Supports	Color is not used as the sole means of conveying information, indicating an action, prompting a response, or distinguishing a visual element within Nuix Workstation.
1.4.2 Audio Control (Level A)	Supports	No audio content that plays automatically is present on Nuix Workstation

Criteria	Conformance Level	Remarks and Explanations
2.1.1 Keyboard (Level A)	Partially Supports	Most of the interactive elements within Nuix Workstation can be accessed and invoked with the keyboard. However, a rating of Partially Supports has been given for the following reasons:  • In some instances, interactive elements are not functional from the keyboard. Examples include the Panel controls, Minimize/Maximize & Close elements, expand/collapse checkbox groupings, and nested values located on the "07 Workbench: Document Navigator" module.  • In some instances, the initial focus is not set on the message. For example, the focus is not set on the message for the "diff" tab.  • On the "12 Evidence Processing Settings" module, the read-only controls are not accessible to screen reader users. Examples include the "Use custom-named entry profile", "MD5", and "PhotoDNA" on the "Data processing settings" tab
2.1.2 No Keyboard Trap (Level A)	Partially Supports	In most instances, keyboard traps do not occur when navigating through the actionable elements within Nuix Workstation. However, a rating of Partially Supports has been given for the following reason:  • In some instances, when navigating through interactive elements, focus gets trapped, and users cannot move the focus out of the elements using TAB and SHIFT+TAB keys. Examples include the "Document Navigator", Level Access   Client - Confidential VPAT® Version 2.4 (Revised) – March 2022 Page 6 of 17 "Results", "Preview", and "Review and Tag" panes on

Criteria	Conformance Level	Remarks and Explanations
		the "10 Workbench: Review and Tag"
		module.
2.1.4 Character Key Shortcuts (Level A 2.1 only)	Supports	Nuix Workstation does not implement keyboard shortcuts.
2.2.1 Timing Adjustable (Level A)	Supports	Nuix Workstation does not contain a time limit set by the content.
2.2.2 Pause, Stop, Hide (Level A)	Supports	There is no moving, blinking, scrolling, or autoupdating information on Nuix Workstation.
2.3.1 Three Flashes or Below Threshold (Level A)	Supports	There is no flashing content on Nuix Workstation.
2.4.1 Bypass Blocks (Level A)	Partially Supports	In most cases, Nuix Workstation provides a mechanism to bypass repetitive content throughout the Panes. However, a rating of Partially Supports has been given for the following reason:  • In some instances, a mechanism is not provided for navigating through application panes. For example, the standard F6 function key cannot be used to navigate between the panes on the "10 Workbench: Review and Tag" module.
2.4.2 Page Titled (Level A)	Supports	Nuix Workstation contains dialogs/windows that provide a descriptive title.
2.4.3 Focus Order (Level A)	Partially Supports	For the most part, in Nuix Workstation, dialogs and panes are set up in such a way that focus order is intuitive for the content and actionable elements.  However, a rating of Partially Supports has been given for the following reasons:  In some instances, the programmatic focus does not follow the expected focus order.  In some instances, the initial focus is not set on the message. For example, the focus is not set on the message for the "diff" tab.
2.4.4 Link Purpose (In Context) (Level A)	Partially Supports	In most cases, link text on Nuix Workstation is descriptive and easy to understand in context.

Criteria	Conformance Level	Remarks and Explanations
		However, a rating of Partially Supports has been given for the following reason:  In a couple of instances, link text is not meaningful within context
2.5.1 Pointer Gestures (Level A 2.1 only)	Partially Supports	Nuix Workstation does not require multipoint or path-based gestures for operation. However, a rating of Partially Supports has been given for the following reason:  • In a couple of modules, functionality requires a multipoint and/or path-based gesture in order to be operable by the user. For example, to assign new parent tags the user must drag and drop the tag.
2.5.2 Pointer Cancellation (Level A 2.1 only)	Supports	Functionality within Nuix Workstation using a single pointer does not use the downevent to execute any part of the function.
2.5.3 Label in Name (Level A 2.1 only)	Partially Supports	Visual labels are included in the accessible name of most form fields on Nuix Workstation. However, a rating of Partially Supports has been given for the following reasons:  In some instances, form fields' visible labels are not explicitly labeled.  In some instances, visible text in form controls is not included in the accessible name.
2.5.4 Motion Actuation (Level A 2.1 only)	Supports	Nuix Workstation does not have functionality requires device motion or user motion.
3.1.1 Language of Page (Level A)	Partially Supports	In Nuix Workstation, the default human language of most of the web pages can be programmatically determined. However, a rating of Partially Supports has been given for the following reason:

Criteria	Conformance Level	Remarks and Explanations
		On the 16a Login module, the HTML lang attribute is not provided to define a default language.
3.2.1 On Focus (Level A)	Supports	No user interface component within Nuix Workstation initiates a change of context when receiving focus.
3.2.2 On Input (Level A)	Partially Supports	The controls on Nuix Workstation do not change page context on input. However, a rating of Partially Supports has been given for the following reason:  • In a couple of modules, the focus forcibly shifts without user interaction. For example, when focus is set to the "Select a case type" radio button, it shifts to "Case name".
3.3.1 Error Identification (Level A)	Supports	Nuix Workstation indicates specifically which input is in error, using a text description when such errors are detected.
3.3.2 Labels or Instructions (Level A)	Partially Supports	Nuix Workstation provides programmatically identifiable labels and instructions for user input fields. However, a rating of Partially Supports has been given for the following reason:  • In some instances, form fields do not provide a programmatically associated label that describes the purpose of each field. Examples include the "close" button and the "Value" edit field on the "09 Workbench: Preview" module.
4.1.1 Parsing (Level A)	Partially Supports	Content in Nuix Workstation do not have parsing issues. However, a rating of Partially Supports has been given for the following reasons:  In some instances, some elements contain a non-unique id attribute.

Criteria	Conformance Level	Remarks and Explanations
		<ul> <li>On the 06 Search: Gallery View module, elements are not well-formed; lack closing tags.</li> <li>In a couple of instances, list items are not well-formed; lack container element (<ul> <li>ol&gt;).</li> </ul></li></ul>
4.1.2 Name, Role, Value (Level A)	Partially Supports	<ul> <li>Nuix Workstation contains elements with appropriate names, roles, and values. However, a rating of Partially Supports has been given for the following reasons: <ul> <li>On the "04 New Case: Processing" module, the progress bar cannot be identified by its accessible name.</li> <li>On the "10 Workbench: Review and Tag" module, the label for the left and right arrow buttons are not meaningful.</li> <li>On the "10 Workbench: Review and Tag" module, radio button groups are not properly formed.</li> </ul> </li> </ul>

#### Table 2: Success Criteria, Level AA

Criteria	Conformance Level	Remarks and Explanations
1.2.4 Captions (Live) (Level AA)	Sunnorte	No content requiring live captions is present on Nuix Workstation.
1.2.5 Audio Description (Prerecorded) (Level AA)	Supports	No content requiring audio description is present on Nuix Workstation.
1.3.4 Orientation (Level AA 2.1 only)	Supports	Content within Nuix Workstation does not restrict its view and operation to a single display orientation.

Criteria	Conformance Level	Remarks and Explanations
1.3.5 Identify Input Purpose (Level AA 2.1 only)	Supports	The purpose of each input field collecting information about the user can be programmatically determined on Nuix Workstation.
1.4.3 Contrast (Minimum) (Level AA)	Partially Supports	Most text or images of text on Nuix Workstation meet the WCAG 2.1 required 4.5:1 contrast ratio for text that is smaller than 18px and the 3.5:1 contrast ratio for text 18px or larger. However, a rating of Partially Supports has been given for the following reason:  • In some instances, text or images of text do not meet the WCAG 2.1 required minimum color contrast ratio of 4.5:1. For example, the red error message against white background does not meet the required contrast.
1.4.4 Resize text (Level AA)	Partially Supports	Nuix Workstation does not allow text to be resized up to 200 percent without loss of content or functionality. A rating of Partially Supports has been given for the following reason:  • Content is lost, cutoff, or overlaps when viewing the web page at 200% browser zoom.
1.4.5 Images of Text (Level AA)	Supports	Nuix Workstation uses text instead of images of text (except for logos).
1.4.10 Reflow (Level AA 2.1 only)	Partially Supports	Nuix Workstation rarely supports reflow of content without loss of information or functionality, and without requiring scrolling. A rating of Partially Supports has been given for the following reason:  In most of the instances, content fails to reflow and becomes unavailable when the horizontal width is 320 CSS pixels/vertical height is 256 CSS pixels.

Criteria	Conformance Level	Remarks and Explanations
1.4.11 Non-text Contrast (Level AA 2.1 only)	Partially Supports	In Nuix Workstation, the visual presentation of non-text content provides sufficient contrast. However, a rating of Partially Supports has been given for the following reason:  • In some instances, the active interface components do not meet the required contrast ratio of 3:1. For example, the gray borders of form fields on gray background does not meet the required contrast ratio.
1.4.12 Text Spacing (Level AA 2.1 only)	Supports	In Nuix Workstation, loss of content or functionality does not occur when setting text style properties and by changing no other style property.
1.4.13 Content on Hover or Focus (Level AA 2.1 only)	Supports	Content that appears on hover or on focus within Nuix Workstation is persistent, dismissible, and hoverable.
2.4.5 Multiple Ways (Level AA)	Supports	All the screens in Nuix Workstation are steps in a process. The screens that do not step in that process, as well as some, but not all, of the screen in the process, can be accessed in multiple ways.
2.4.6 Headings and Labels (Level AA)	Partially Supports	Headings and labels accurately describe the content on Nuix Workstation. However, a rating of partially Supports has been given for the following reason:  • In some instances, button label(s) are incorrectly described to screen reader users. For example, the "" element accompanying the "Custodian" is announced as a "button".
2.4.7 Focus Visible (Level AA)	Partially Supports	In most cases, actionable elements on Nuix Workstation display a visible outline when focused with the keyboard. However, a rating of Partially Supports has been given for the following reason:

Criteria	Conformance Level	Remarks and Explanations
		On the "01 Create a New Case" module, there is no visual indication of keyboard focus when using TAB or SHIFT+TAB to navigate through the interactive elements.
3.1.2 Language of Parts (Level AA)	Supports	Nuix Workstation does not use inline language changes.
3.2.3 Consistent Navigation (Level AA)	Supports	Navigation is consistent throughout Nuix Workstation.
3.2.4 Consistent Identification (Level AA)	Supports	Controls and interfaces on Nuix Workstation are consistent with each other.
3.3.3 Error Suggestion (Level AA)	Supports	Error messages on Nuix Workstation provide suggestions for fixing the errors where appropriate.
3.3.4 Error Prevention (Legal, Financial, Data) (Level AA)	Supports	Nuix Workstation does not contain screens that cause legal commitments or financial transactions, or screens that irreversibly modify user-controllable data. Checklist evaluations do not prevent a user from submitting empty responses and/or incomplete responses.
4.1.3 Status Messages (Level AA 2.1 only)	Supports	Nuix Workstation does not contain status message.

#### Table 3: Success Criteria, Level AAA

Notes: Not Applicable – Not Evaluated.

(Based on VPAT® Version 2.4Rev)

## Nuix Investigate

Report Date: February 2024

**Product Description:** Nuix Investigate® is an application that allows web-based access to Nuix cases. Designed specifically for forensic investigators and subject matter experts, it provides the tools to help search, analyze, and tag case data, all from the web.

Contact Information: Support@nuix.com

Evaluation Methods Used: Evaluation of the Nuix Investigate® product ("Product" in the following documentation) involved a combination of automated, manual, and assistive technology testing. Level Access ("Level") comprehensively tested a selection of pages representative of the Nuix Investigate® web application (referred to as "in- scope features and flows" where applicable in this documentation), using a testing methodology that is aligned with the Web Accessibility Conformance Evaluation Methodology 1.0. Assistive technologies, including a screen reader (JAWS 2023), were used along with exclusive use of keyboard input, browser zoom, manual inspection of page code, and review of the Accessibility API output to determine the level of support for each WCAG 2.1 criterion. Level also performed functional testing of key user flows within the application using JAWS 2023 to determine the effective usability of the product for persons with disabilities.

Applicable Standards/Guidelines: This report covers the degree of conformance for the following accessibility standard/guidelines

Standard/Guideline	Included In Report
Web Content Accessibility Guidelines 2.0	Level A (Yes)
	Level AA (Yes)
	Level AAA (No)
Web Content Accessibility Guidelines 2.1	Level A (Yes)
	Level AA (Yes)
	Level AAA (No)

#### **Terms**

The terms used in the Conformance Level information are defined as follows:

- **Supports**: The functionality of the product has at least one method that meets the criterion without known defects or meets with equivalent facilitation.
- Partially Supports: Some functionality of the product does not meet the criterion.
- **Does Not Support**: The majority of product functionality does not meet the criterion.
- Not Applicable: The criterion is not relevant to the product.
- Not Evaluated: The product has not been evaluated against the criterion. This can be used only in WCAG 2.0 Level AAA.

#### WCAG 2.x Report

Note: When reporting on conformance with the WCAG 2.x Success Criteria, they are scoped for full pages, complete processes, and accessibility-supported ways of using technology as documented in the <u>WCAG 2.x Conformance Requirements</u>.

Table 1: Success Criteria, Level A

Criteria	Conformance Level	Remarks and Explanations
1.1.1 Non-text Content (Level A)	Does Not Support	<ul> <li>A rating of Does Not Support has been given for the following reasons:</li> <li>Visually hidden and intentionally inactive content is, on some pages, improperly exposed to assistive technologies (e.g., screen readers).</li> <li>Modal dialogs do not fully restrict keyboard interaction to remain within the dialog area.</li> <li>Interactive radio button controls in several key user flows are not programmatically exposed to assistive technologies.</li> </ul>
1.2.1 Audio-only and Video-only (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded video or audio- only content. Product supports uploading of User Generated files that may include audio-only or video- only media content, which may support accessibility features at the discretion of the file creator(s) and are not inscope for this ACR.
1.2.2 Captions (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded multi-media content. Product supports uploading of User Generated files that may include multi-media content, which may include captioning at the discretion of the file creator(s) and are not inscope for this ACR.
1.2.3 Audio Description or Media Alternative (Prerecorded) (Level A)	Supports	Product does not include application controlled or embedded multi-media content. Product supports uploading of User Generated files that may include multi-media content, which may include audio description or media alternative at the discretion of the file creator(s) and are not in-scope for this ACR.

Criteria	Conformance Level	Remarks and Explanations
1.3.1 Info and Relationships (Level A)	Partially Supports	<ul> <li>A rating of Partially Supports has been given for the following reasons:</li> <li>Visual heading text blocks are, in several cases, not programmatically identified as meaningful headings.</li> <li>Visual textual field labels, when present, are not always explicitly associated with their relevant fields at the code level.</li> <li>Semantically meaningful</li> <li>structures, such as lists, trees, tab widgets, and dialogs, are, in some cases, implicit in nature, implemented purely using CSS styling.</li> </ul>
1.3.2 Meaningful Sequence (Level A)	Supports	Product's content and pages properly linearize for sequential navigation by keyboard input and assistive technologies without loss of meaning or inhibition of functionality.
1.3.3 Sensory Characteristics (Level A)	Supports	Product does not contain instructions or content that relies solely on sensory characteristics such as shape, size, visual location, orientation, or sound.
1.4.1 Use of Color (Level A)	Partially Supports	Most of the product meets the criterion, either using non-color cues to express information or pairing a non-color-dependent cue with incidental color effects. A rating of Partially Supports has been given for the following reasons:  • "Switch" role controls present on some pages visually present their active/inactive state through a low contrast color shift.  • Custom tab-widget controls present on multiple pages visually present their

Criteria	Conformance Level	Remarks and Explanations
		<ul> <li>selected state using a low-contrast background color shift.</li> <li>In a small number of cases, red coloration is used as the sole visual indicator of form validation errors.</li> </ul>
1.4.2 Audio Control (Level A)	Supports	Product does not include application controlled or embedded audio content.
2.1.1 Keyboard (Level A)	Does Not Support	Much of the product supports keyboard interaction to at least a reasonably functional degree. A rating of Does Not Support has been given, however, due to a number of custom controls critical to key userflows which do not support keyboard interaction and provide no keyboard operable alternative to control their related functionality.
2.1.2 No Keyboard Trap (Level A)	Does Not Support	Product contains keyboard traps, related to custom multi-select drop-down widgets present on two key pages which cannot be closed or dismissed with pure keyboard input. Instances specifically occur in the Reports view and Query Builder tools.
2.1.4 Character Key Shortcuts (Level A 2.1 only)	Supports	Product uses character key shortcuts that can be turned off, remapped to non- printable keyboard characters (such as Ctrl, Alt, etc.), or that are active only when the intended component has focus.
2.2.1 Timing Adjustable (Level A)	Supports	Product provides methods for the User to turn off, adjust, or extend time limits imposed on content before expiration.
2.2.2 Pause, Stop, Hide (Level A)	Supports	Product allows users to pause, stop, or hide moving, blinking, scrolling or auto- updating content.
2.3.1 Three Flashes or Below Threshold (Level A)	Supports	Product does not contain any content which flashes or blinks above the 3.0 Hz threshold.

Criteria	Conformance Level	Remarks and Explanations
2.4.1 Bypass Blocks (Level A)	Does Not Support	Uniformly on all pages, product does not include quick navigation mechanisms to bypass the shared site header section.
2.4.2 Page Titled (Level A)	Partially Supports	Product features a valid title tag on all pages. A rating of Partially Supports has been given, however, because titles assigned are not distinctly descriptive of each individual page's purpose.  Typically, pages on the site are titled just as the Product's name ("Nuix Investigate").
2.4.3 Focus Order (Level A)	Partially Supports	Much of the product content presents interactive controls in a focus order which mirrors the natural reading order of the page. A rating of Partially Supports has been given for the following reasons:  • Explicit focus management is not always present on activation of modal dialogs, failed form submission, and completion of search form actions which update the content of the page.
2.4.4 Link Purpose (In Context) (Level A)	Supports	Purpose of all links present within the product can be programmatically determined from the link text, and/or from the link text in conjunction with the surrounding, programmatically determinable context.
2.5.1 Pointer Gestures (Level A 2.1 only)	Partially Supports	Product ensures that functionality that uses multi- point or path-based gestures can be operated with a single pointer device without requiring path- based gestures.
2.5.2 Pointer Cancellation (Level A 2.1 only)	Supports	Product ensures that functionality triggered using a single pointer event does not complete on the down- press and allows the User to abort and/or reverse the function when desired.
2.5.3 Label in Name (Level A 2.1 only)	Partially Supports	A rating of Partially Supports has been given due to instances of controls and fields within the product

Criteria	Conformance Level	Remarks and Explanations
		which provide visual textual labels but do not associate those labels with the field programmatically. In the majority of affected cases, fields derive their programmatic name from placeholder content, a title attribute, or ARIA markup, though a handful of instances lack textual names entirely.
2.5.4 Motion Actuation (Level A 2.1 only)	Supports	Product does not include any functionality that is operated using orientation or physical motion of the device.
3.1.1 Language of Page (Level A)	Partially Supports	With the singular exception of the Login form page, site uniformly assigns a programmatic natural language for each page document. Login form lacks a root document language definition entirely.
3.2.1 On Focus (Level A)	Partially Supports	Simple controls across the product conform to the criterion individually. However, a rating of Partially Supports has been given due to behavior of custom combo box style controls used throughout the pages, which automatically expand and perform focus movement into the drop-down when initially focused with keyboard input.
3.2.2 On Input (Level A)	Supports	Product does not contain components or user controls that initiate an inappropriate change of context immediately upon change of value or state.
3.3.1 Error Identification (Level A)	Supports	Product supports the criterion and provides clear identification of fields in error where applicable. Error messages on the Login form are present at the form level but not granularly descriptive of specific field(s) due to site security considerations.
3.3.2 Labels or Instructions (Level A)	Partially Supports	Majority of the product meets this criterion, however a rating of Partially Supports has been given due to a handful of instances in the Search and Reports section of the site that have visual

Criteria	Conformance Level	Remarks and Explanations
		textual labels which are not programmatically associated to the relevant fields.
4.1.1 Parsing (Level A)	Partially Supports	<ul> <li>Product passes parsing evaluation and checks for the majority of content. A rating of Partially Supports has been given for the following reasons:</li> <li>Duplicated fields and controls for some common features (e.g., Search fields) feature non-unique ID values due to the shared widget.</li> <li>Native list structures and interactive buttons, in a small number of cases, contain invalidly nested child elements.</li> </ul>
4.1.2 Name, Role, Value (Level A)	Does Not Support	Majority of native controls and elements across the site provide appropriate name/role/state information for assistive technologies. However, a rating of Does Not Support has been given due to implicit tab-view widgets and hidden radio button controls present within several key User- flows across the product (including, but not limited to, Query Builder, Search functionality, and Management pages).

Table 2: Success Criteria, Level AA

Criteria	Conformance Level	Remarks and Explanations
1.2.4 Captions (Live) (Level AA)	Supports	Product does not include live audio/video content.
1.2.5 Audio Description (Prerecorded) (Level AA)	Supports	Product does not include application controlled or embedded synchronized media. Product supports uploading of User Generated files that may include synchronized media content, which may provide

Criteria	Conformance Level	Remarks and Explanations
		audio description at the discretion of the file creator(s) and are not in-scope for this ACR.
1.3.4 Orientation (Level AA 2.1 only)	Supports	Product does not restrict its view and operation to a single orientation, e.g., portrait or landscape.
1.3.5 Identify Input Purpose (Level AA 2.1 only)	Supports	Where applicable, Product identifies common field types programmatically as defined in the HTML document specifications.
1.4.3 Contrast (Minimum) (Level AA)	Partially Supports	In most cases, Product ensures that textual content provides sufficient visual contrast against the background. A rating of Partially Supports has been given due to the following cases of insufficient color contrast:   • Meaningful text provided using field placeholder values.  • Inline field hint information, primarily remaining character counts for some input fields.  • Theme blue shade used for background of some CTA controls and selection state of some custom drop- down fields.
1.4.4 Resize text (Level AA)	Partially Supports	In most cases, Product ensures textual content can be cleanly resized without loss of content or meaning using browser zoom functionality. A rating of Partially Supports has been given due to the following cases of browser zoom causing some loss of content:  • Sections of the Query Builder tool become hard clipped by the viewport at 200% browser zoom ratio.

Criteria	Conformance Level	Remarks and Explanations
		Some modal dialogs become hard clipped along the top edge of the dialog at 200% browser zoom ratio.
1.4.5 Images of Text (Level AA)	Supports	Product uses true text content instead of images of text, except in cases exempt from this criterion (e.g., Logotypes). Product supports uploading of User Generated files, which may include images of text at the discretion of the file creator(s) and are not in- scope for this ACR.
1.4.10 Reflow (Level AA 2.1 only)	Does Not Support	Content of the site does not support the criterion, owing primarily to controls within interactive toolbars and panels (e.g., Search and filtering functionality) not dynamically adapting to smaller viewport widths, resulting in loss of content.
1.4.11 Non-text Contrast (Level AA 2.1 only)	Partially Supports	<ul> <li>Much of the site content meets the minimum contrast proscribed by the criterion. A rating of Partially Supports, however, has been given due to the following elements and functionality:</li> <li>Borders of checkbox style controls, including within tree view and custom combo box structures.</li> <li>Visual styling of some button role elements, including both the control border and visually identifying iconography within the control.</li> <li>Visual color shift used as a focus style for a few interactive controls.</li> </ul>
1.4.12 Text Spacing (Level AA 2.1 only)	Partially Supports	In most cases, Product supports increase of text spacing without loss of content or meaning. A rating of Partially Supports has been given due to

Criteria	Conformance Level	Remarks and Explanations
		text spacing changes not being honored/supported by on- hover custom tooltips present on several pages of the web app.
1.4.13 Content on Hover or Focus (Level AA 2.1 only)	Partially Supports	In most cases, Product properly supports hover and focus behaviour conformant to the criterion. A rating of Partially Supports has been given due to a single instance of a custom tooltip related to the "Filter By" field in the Search results Gallery view.
2.4.5 Multiple Ways (Level AA)	Supports	Where applicable, Product supports the user's ability to navigate through pages in multiple ways/paths.
2.4.6 Headings and Labels (Level AA)	Doubielly Company	In most cases, Product utilizes headings and labels which are descriptive and unique. A rating of Partially Supports has been given for the following reasons:
	Partially Supports	Visual headings and textual labels for repeated controls on some pages are not uniquely identified when taken in their programmatically determinable context.
2.4.7 Focus Visible (Level AA)	Partially Supports	Content of the site provides visual indication of currently focused elements. A rating of Partially Supports has been given, however, due to numerous cases of common components and shared content (e.g., within the Search tools sidebar) that visually indicate focus state using low contrast shifts of background color.
3.1.2 Language of Parts (Level AA)	Supports	Product indicates the human language of content, and changes of language within the product content where applicable. Product supports uploading of user generated files that may diverge from the native language of the product itself, at

Criteria	Conformance Level	Remarks and Explanations
		the discretion of the file creator(s), that are not inscope for this ACR.
3.2.3 Consistent Navigation (Level AA)	Supports	Product navigation mechanisms which are repeated on multiple pages are uniformly presented in a consistent relative order on all pages which contain them.
3.2.4 Consistent Identification (Level AA)	Supports	Components with the same functionality are consistently presented and identified across all pages of the product.
3.3.3 Error Suggestion (Level AA)	Supports	Where applicable, Product provides suggestions when user input does not pass form validation checks.
3.3.4 Error Prevention (Legal, Financial, Data) (Level AA)	Supports	Where applicable under this criterion, Product provides mechanisms to reverse changes to user generated data and/or confirm information before finalizing an update.
4.1.3 Status Messages (Level AA 2.1 only)	Partially Supports	<ul> <li>Much of the content of the product does not require real-time live status updates to be defined.</li> <li>However, a rating of Partially Supports has been given due to active status messages being absent for the following dynamic functionality:</li> <li>Visual loading state indicators presented for asynchronously updated content sections.</li> <li>Search feature results following initial search and subsequent filtering of results.</li> <li>Live updated character count limits associated with some text input fields.</li> </ul>

#### Table 3: Success Criteria, Level AAA

Notes: Not Applicable – Not Evaluated.

(Based on VPAT® Version 2.4Rev)

## Nuix Rampiva

Report Date: February 2024

Product Description: Nuix Rampiva is an eDiscovery automation tool.

Contact Information: <a href="mailto:Support@nuix.com">Support@nuix.com</a>

**Evaluation Methods Used:** Testing performed using the automated and the Intelligent Guided Test modes of axe DevTools Pro, on the main application pages (Login, Jobs, Clients, Settings)

Applicable Standards/Guidelines: This report covers the degree of conformance for the following accessibility standard/guidelines:

Standard/Guideline	Included In Report
Web Content Accessibility Guidelines 2.0	Level A (Yes)
	Level AA (Yes)
	Level AAA (No)
Web Content Accessibility Guidelines 2.1	Level A (Yes)
	Level AA (Yes)
	Level AAA (No)

#### **Terms**

The terms used in the Conformance Level information are defined as follows:

- **Supports**: The functionality of the product has at least one method that meets the criterion without known defects or meets with equivalent facilitation.
- Partially Supports: Some functionality of the product does not meet the criterion.
- Does Not Support: The majority of product functionality does not meet the criterion.
- Not Applicable: The criterion is not relevant to the product.
- Not Evaluated: The product has not been evaluated against the criterion. This can be used only in WCAG 2.0 Level AAA.

#### WCAG 2.x Report

Note: When reporting on conformance with the WCAG 2.x Success Criteria, they are scoped for full pages, complete processes, and accessibility-supported ways of using technology as documented in the <u>WCAG 2.x Conformance Requirements</u>.

Table 1: Success Criteria, Level A

Criteria	Conformance Level	Remarks and Explanations
1.1.1 Non-text Content (Level A)	Supports	
1.2.1 Audio-only and Video-only (Prerecorded) (Level A)	Not Applicable	Product does not have any audio or video content.
1.2.2 Captions (Prerecorded) (Level A)	Not Applicable	Product does not have any audio or video content.
1.2.3 Audio Description or Media Alternative (Prerecorded) (Level A)	Not Applicable	Product does not have any audio content.
1.3.1 Info and Relationships (Level A)	Supports	
1.3.2 Meaningful Sequence (Level A)	Partially Supports	Screen readers can read content outside the modal dialogs.
1.3.3 Sensory Characteristics (Level A)	Supports	
1.4.1 Use of Color (Level A)	Supports	
1.4.2 Audio Control (Level A)	Not Applicable	Product does not have any audio content.
2.1.1 Keyboard (Level A)	Supports	
2.1.2 No Keyboard Trap (Level A)	Supports	
2.1.4 Character Key Shortcuts (Level A 2.1 only)	Supports	
2.2.1 Timing Adjustable (Level A)	Supports	
2.2.2 Pause, Stop, Hide (Level A)	Supports	
2.3.1 Three Flashes or Below Threshold (Level A)	Supports	
2.4.1 Bypass Blocks (Level A)	Supports	
2.4.2 Page Titled (Level A)	Supports	
2.4.3 Focus Order (Level A)	Supports	
2.4.4 Link Purpose (In Context) (Level A)	Supports	
2.5.1 Pointer Gestures (Level A 2.1 only)	Supports	
2.5.2 Pointer Cancellation (Level A 2.1 only)	Supports	
2.5.3 Label in Name (Level A 2.1 only)	Supports	
2.5.4 Motion Actuation (Level A 2.1 only)	Supports	
3.1.1 Language of Page (Level A)	Supports	
3.2.1 On Focus (Level A)	Supports	
3.2.2 On Input (Level A)	Supports	

Criteria	Conformance Level	Remarks and Explanations
3.3.1 Error Identification (Level A)	Supports	
3.3.2 Labels or Instructions (Level A)	Partially Supports	The label is not persistent in the Search field, however, this field is accompanied by a magnifying glass icon which indicates its purpose.  The label is not persistent in the Username and Password fields when the application is configured with a login method supporting this type of login. The order of these 2 fields and the label of the login form makes it intuitive to understand what the role of the fields is.
4.1.1 Parsing (Level A)	Supports	
4.1.2 Name, Role, Value (Level A)	Supports	

#### Table 2: Success Criteria, Level AA

Criteria	Conformance Level	Remarks and Explanations
1.2.4 Captions (Live) (Level AA)	Not Applicable	Product does not have any audio or video content.
1.2.5 Audio Description (Prerecorded) (Level AA)	Not Applicable	Product does not have any audio content.
1.3.4 Orientation (Level AA 2.1 only)	Supports	
1.3.5 Identify Input Purpose (Level AA 2.1 only)	Supports	
1.4.3 Contrast (Minimum) (Level AA)	Supports	
1.4.4 Resize text (Level AA)	Supports	
1.4.5 Images of Text (Level AA)	Supports	
1.4.10 Reflow (Level AA 2.1 only)	Supports	
1.4.11 Non-text Contrast (Level AA 2.1 only)	Supports	
1.4.12 Text Spacing (Level AA 2.1 only)	Supports	
1.4.13 Content on Hover or Focus (Level AA 2.1 only)	Supports	
2.4.5 Multiple Ways (Level AA)	Supports	
2.4.6 Headings and Labels (Level AA)	Supports	

Criteria	Conformance Level	Remarks and Explanations
2.4.7 Focus Visible (Level AA)	Supports	
3.1.2 Language of Parts (Level AA)	Supports	
3.2.3 Consistent Navigation (Level AA)	Supports	
3.2.4 Consistent Identification (Level AA)	Supports	
3.3.3 Error Suggestion (Level AA)	Supports	
3.3.4 Error Prevention (Legal, Financial, Data) (Level AA)	Supports	
4.1.3 Status Messages (Level AA 2.1 only)	Supports	

#### Table 3: Success Criteria, Level AAA

Notes: Not Applicable – Not Evaluated.

(Based on VPAT® Version 2.4Rev)

## Nuix NLP

Report Date: Report coming in Q4 2024

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