

NUIX ACCESSIBILITY CONFORMANCE REPORT WCAG EDITION

(Based on VPAT® Version 2.4)

Name of Product/Version: Nuix Workstation

Report Date: February 2024

Product Description: Nuix Workstation is the world's leading technology for extracting intelligence from high-volume unstructured, semistructured and structured data. It saves you time, increases productivity, and streamlines workflows. Nuix Workstation's efficient and scalable processing turns more than 1,000 file formats and source types into meaningful information, capturing the content, metadata, and context of each item. Our customers use Nuix Workstation for litigation, forensic investigations, information governance, government oversight, mergers and divestitures, compliance, data and email migrations, and regulatory enforcement and response.

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Evaluation Methods Used: Testing based on general knowledge with available accessibility tools in Windows and Apple operating system.

Applicable Standards/Guidelines: This report covers the degree of conformance for the following accessibility standard/guidelines

Standard/Guideline	Included In Report
Web Content Accessibility Guidelines 2.0	Level A (Yes)
	Level AA (Yes)
	Level AAA (Yes)
Web Content Accessibility Guidelines 2.1	Level A (Yes)
	Level AA (Yes)
	Level AAA (Yes)

Terms

The terms used in the Conformance Level information are defined as follows:

- **Supports**: The functionality of the product has at least one method that meets the criterion without known defects or meets with equivalent facilitation.
- Partially Supports: Some functionality of the product does not meet the criterion.
- **Does Not Support**: The majority of product functionality does not meet the criterion.
- Not Applicable: The criterion is not relevant to the product.
- Not Evaluated: The product has not been evaluated against the criterion. This can be used only in WCAG 2.0 Level AAA.

WCAG 2.x Report

Note: When reporting on conformance with the WCAG 2.x Success Criteria, they are scoped for full pages, complete processes, and accessibility-supported ways of using technology as documented in the <u>WCAG 2.0 Conformance Requirements</u>.

Table 1: Success Criteria, Level A

Notes:

Criteria	Conformance Level	Remarks and Explanations
1.1.1 Non-text Content (Level A)	Supports	Text equivalents are provided for non-text elements within Nuix Workstation.
1.2.1 Audio-only and Video-only (Prerecorded) (Level A)	Supports	Software: Nuix Workstation provides text transcripts or Audio tracks.
1.2.2 Captions (Prerecorded) (Level A)	Supports	Nuix Workstation does include captions for "synchronized multimedia".
1.2.3 Audio Description or Media Alternative (Prerecorded) (Level A)	Supports	No content requiring audio description, or a media alternative is present on Nuix Workstation.
1.3.1 Info and Relationships (Level A)	Partially Supports	Relationships among content in Nuix Workstation are represented semantically in most cases. However, a rating of Partially Supports has been given for the following reasons: • On the "04 New Case: Processing" module, the progress bar cannot be identified by its accessible name. • On the "10 Workbench: Review and Tag" module, the labels for the left and right arrow buttons are not meaningful.
1.3.2 Meaningful Sequence (Level A)	Supports	Nuix Workstation provides content in a meaningful sequence to support navigation and correct reading order.
1.3.3 Sensory Characteristics (Level A)	Supports	Instructions that rely solely on sensory characteristics are not present on Nuix Workstation.
1.4.1 Use of Color (Level A)	Supports	Color is not used as the sole means of conveying information, indicating an action, prompting a response, or distinguishing a visual element within Nuix Workstation.
1.4.2 Audio Control (Level A)	Supports	No audio content that plays automatically is present on Nuix Workstation
2.1.1 Keyboard (Level A)	Partially Supports	Most of the interactive elements within Nuix Workstation can be accessed and invoked with the

Criteria	Conformance Level	Remarks and Explanations
		 keyboard. However, a rating of Partially Supports has been given for the following reasons: In some instances, interactive elements are not functional from the keyboard. Examples include the Panel controls,
2.1.2 No Keyboard Trap (Level A)	Partially Supports	In most instances, keyboard traps do not occur when navigating through the actionable elements within Nuix Workstation. However, a rating of Partially Supports has been given for the following reason: • In some instances, when navigating through interactive elements, focus gets trapped, and users cannot move the focus out of the elements using TAB and SHIFT+TAB keys. Examples include the "Document Navigator", Level Access Client - Confidential VPAT® Version 2.4 (Revised) – March 2022 Page 6 of 17 "Results", "Preview", and "Review and Tag" panes on the "10 Workbench: Review and Tag" module.
2.1.4 Character Key Shortcuts (Level A 2.1 only)	Supports	Nuix Workstation does not implement keyboard shortcuts.

Criteria	Conformance Level	Remarks and Explanations
2.2.1 Timing Adjustable (Level A)	Supports	Nuix Workstation does not contain a time limit set by the content.
2.2.2 Pause, Stop, Hide (Level A)	Supports	There is no moving, blinking, scrolling, or autoupdating information on Nuix Workstation.
2.3.1 Three Flashes or Below Threshold (Level A)	Supports	There is no flashing content on Nuix Workstation.
2.4.1 Bypass Blocks (Level A)	Partially Supports	In most cases, Nuix Workstation provides a mechanism to bypass repetitive content throughout the Panes. However, a rating of Partially Supports has been given for the following reason: • In some instances, a mechanism is not provided for navigating through application panes. For example, the standard F6 function key cannot be used to navigate between the panes on the "10 Workbench: Review and Tag" module.
2.4.2 Page Titled (Level A)	Supports	Nuix Workstation contains dialogs/windows that provide a descriptive title.
2.4.3 Focus Order (Level A)	Partially Supports	For the most part, in Nuix Workstation, dialogs and panes are set up in such a way that focus order is intuitive for the content and actionable elements. However, a rating of Partially Supports has been given for the following reasons: • In some instances, the programmatic focus does not follow the expected focus order. • In some instances, the initial focus is not set on the message. For example, the focus is not set on the message for the "diff" tab.
2.4.4 Link Purpose (In Context) (Level A)	Partially Supports	In most cases, link text on Nuix Workstation is descriptive and easy to understand in context. However, a rating of Partially Supports has been given for the following reason: In a couple of instances, link text is not meaningful within context
2.5.1 Pointer Gestures (Level A 2.1 only)	Partially Supports	Nuix Workstation does not require multipoint or path-based gestures for operation. However, a

Criteria	Conformance Level	Remarks and Explanations
		rating of Partially Supports has been given for the following reason: • In a couple of modules, functionality requires a multipoint and/or path-based gesture in order to be operable by the user. For example, to assign new parent tags the user must drag and drop the tag.
2.5.2 Pointer Cancellation (Level A 2.1 only)	Supports	Functionality within Nuix Workstation using a single pointer does not use the downevent to execute any part of the function.
2.5.3 Label in Name (Level A 2.1 only)	Partially Supports	Visual labels are included in the accessible name of most form fields on Nuix Workstation. However, a rating of Partially Supports has been given for the following reasons: • In some instances, form fields' visible labels are not explicitly labeled. • In some instances, visible text in form controls is not included in the accessible name.
2.5.4 Motion Actuation (Level A 2.1 only)	Supports	Nuix Workstation does not have functionality requires device motion or user motion.
3.1.1 Language of Page (Level A)	Partially Supports	In Nuix Workstation, the default human language of most of the web pages can be programmatically determined. However, a rating of Partially Supports has been given for the following reason: On the 16a Login module, the HTML lang attribute is not provided to define a default language.
3.2.1 On Focus (Level A)	Supports	No user interface component within Nuix Workstation initiates a change of context when receiving focus.
3.2.2 On Input (Level A)	Partially Supports	The controls on Nuix Workstation do not change page context on input. However, a rating of Partially Supports has been given for the following reason: In a couple of modules, the focus forcibly shifts without user interaction. For example,

Criteria	Conformance Level	Remarks and Explanations
		when focus is set to the "Select a case type"
		radio button, it shifts to "Case name".
3.3.1 Error Identification (Level A)	Supports	Nuix Workstation indicates specifically which input is in error, using a text description when such errors are detected.
3.3.2 Labels or Instructions (Level A)	Partially Supports	Nuix Workstation provides programmatically identifiable labels and instructions for user input fields. However, a rating of Partially Supports has been given for the following reason: • In some instances, form fields do not provide a programmatically associated label that describes the purpose of each field. Examples include the "close" button and the "Value" edit field on the "09 Workbench: Preview" module.
4.1.1 Parsing (Level A)	Partially Supports	Content in Nuix Workstation do not have parsing issues. However, a rating of Partially Supports has been given for the following reasons: In some instances, some elements contain a non-unique id attribute. On the 06 Search: Gallery View module, elements are not well-formed; lack closing tags. In a couple of instances, list items are not well-formed; lack container element (or or ol>). ontainer element (or ol>). ol>).
4.1.2 Name, Role, Value (Level A)	Partially Supports	Nuix Workstation contains elements with appropriate names, roles, and values. However, a rating of Partially Supports has been given for the following reasons: • On the "04 New Case: Processing" module, the progress bar cannot be identified by its accessible name.

Criteria	Conformance Level	Remarks and Explanations
		 On the "10 Workbench: Review and Tag" module, the label for the left and right arrow buttons are not meaningful. On the "10 Workbench: Review and Tag" module, radio button groups are not properly formed.

Table 2: Success Criteria, Level AA

Notes:

Criteria	Conformance Level	Remarks and Explanations
1.2.4 Captions (Live) (Level AA)	Supports	No content requiring live captions is present on Nuix Workstation.
1.2.5 Audio Description (Prerecorded) (Level AA)	Supports	No content requiring audio description is present on Nuix Workstation.
1.3.4 Orientation (Level AA 2.1 only)	Supports	Content within Nuix Workstation does not restrict its view and operation to a single display orientation.
1.3.5 Identify Input Purpose (Level AA 2.1 only)	Supports	The purpose of each input field collecting information about the user can be programmatically determined on Nuix Workstation.
1.4.3 Contrast (Minimum) (Level AA)	Partially Supports	Most text or images of text on Nuix Workstation meet the WCAG 2.1 required 4.5:1 contrast ratio for text that is smaller than 18px and the 3.5:1 contrast ratio for text 18px or larger. However, a rating of Partially Supports has been given for the following reason: • In some instances, text or images of text do not meet the WCAG 2.1 required minimum color contrast ratio of 4.5:1. For example, the red error message against white background does not meet the required contrast.

Criteria	Conformance Level	Remarks and Explanations
1.4.4 Resize text (Level AA)	Partially Supports	Nuix Workstation does not allow text to be resized up to 200 percent without loss of content or functionality. A rating of Partially Supports has been given for the following reason: • Content is lost, cutoff, or overlaps when viewing the web page at 200% browser zoom.
1.4.5 Images of Text (Level AA)	Supports	Nuix Workstation uses text instead of images of text (except for logos).
1.4.10 Reflow (Level AA 2.1 only)	Partially Supports	Nuix Workstation rarely supports reflow of content without loss of information or functionality, and without requiring scrolling. A rating of Partially Supports has been given for the following reason: • In most of the instances, content fails to reflow and becomes unavailable when the horizontal width is 320 CSS pixels/vertical height is 256 CSS pixels.
1.4.11 Non-text Contrast (Level AA 2.1 only)	Partially Supports	In Nuix Workstation, the visual presentation of non-text content provides sufficient contrast. However, a rating of Partially Supports has been given for the following reason: • In some instances, the active interface components do not meet the required contrast ratio of 3:1. For example, the gray borders of form fields on gray background does not meet the required contrast ratio.
1.4.12 Text Spacing (Level AA 2.1 only)	Supports	In Nuix Workstation, loss of content or functionality does not occur when setting text style properties and by changing no other style property.
1.4.13 Content on Hover or Focus (Level AA 2.1 only)	Supports	Content that appears on hover or on focus within Nuix Workstation is persistent, dismissible, and hoverable.
2.4.5 Multiple Ways (Level AA)	Supports	All the screens in Nuix Workstation are steps in a process. The screens that do not step in that process, as well as some, but not all, of the screen in the process, can be accessed in multiple ways.

Criteria	Conformance Level	Remarks and Explanations
2.4.6 Headings and Labels (Level AA)	Partially Supports	Headings and labels accurately describe the content on Nuix Workstation. However, a rating of partially Supports has been given for the following reason: • In some instances, button label(s) are incorrectly described to screen reader users. For example, the "" element accompanying the "Custodian" is announced as a "button".
2.4.7 Focus Visible (Level AA)	Partially Supports	In most cases, actionable elements on Nuix Workstation display a visible outline when focused with the keyboard. However, a rating of Partially Supports has been given for the following reason: • On the "01 Create a New Case" module, there is no visual indication of keyboard focus when using TAB or SHIFT+TAB to navigate through the interactive elements.
3.1.2 Language of Parts (Level AA)	Supports	Nuix Workstation does not use inline language changes.
3.2.3 Consistent Navigation (Level AA)	Supports	Navigation is consistent throughout Nuix Workstation.
3.2.4 Consistent Identification (Level AA)	Supports	Controls and interfaces on Nuix Workstation are consistent with each other.
3.3.3 Error Suggestion (Level AA)	Supports	Error messages on Nuix Workstation provide suggestions for fixing the errors where appropriate.
3.3.4 Error Prevention (Legal, Financial, Data) (Level AA)	Supports	Nuix Workstation does not contain screens that cause legal commitments or financial transactions, or screens that irreversibly modify user-controllable data. Checklist evaluations do not prevent a user from submitting empty responses and/or incomplete responses.
4.1.3 Status Messages (Level AA 2.1 only)	Supports	Nuix Workstation does not contain status message.

Table 3: Success Criteria, Level AAA

Notes: Notes: Notes: Applicable - Not Evaluated.

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